

ANTIFUNGAL MACROCYCLIC POLYLACTONES FROM
Penicillium verruculosum

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Three macrocyclic poly lactones have been isolated from *Penicillium verruculosum*. Two were found to be novel and the third to be identical with NG-012¹⁾. Their structures were elucidated by spectroscopic and chemical methods. A full assignment of ¹H- and ¹³C-resonances in acetone-*d*₆ are given for all three compounds. The compounds show antifungal activity.

During ongoing screening for antifungal metabolites of potential interest for agricultural applications *Penicillium verruculosum* (IMI 352119) was found to produce three closely related macrocyclic poly lactones, BK223-A, BK223-B and BK223-C. BK223-A was identified as NG-012, a nerve growth factor potentiator isolated from *Penicillium verruculosum* F-4542¹⁾. BK223-B and BK223-C, however, were found to be novel. The compounds all exhibit growth inhibiting activity against plant pathogenic fungi including *Botrytis cinerea*, *Phoma lingam*, *Phoma betae*, *Pyrenophora teres*, *Sclerotinia sclerotiorum*, *Monilinia fructigena*, *Ascochyta pisi* and *Alternaria alternata*. We report on the production, isolation, chemical structure and biological activities of the compounds.

Description of the Strain and Taxonomy

Strain IMI 352119 was allocated to *Penicillium verruculosum* Peyronel. In subgenus *Biverticillium* thick sphaeroidal verrucose conidium walls are only found in *P. purpurogenum* Stoll, *P. verruculosum*, *P. aculeatum* Raper & Fennell and *P. proteolyticum* Kamyschko. IMI 352119 typically produced rather divergent metulae and ampulliform-acerose phialides typical for *P. verruculosum* and *P. aculeatum*. The two latter species have occasionally been synonymised²⁾, but can be distinguished by their growth rate on Czapek yeast autolysate agar at 37°C. The former has colony diameters more than 16 mm after one week, whereas diameters of the latter species are always less than 8 mm at the same conditions.

Screening for Other Producers of BK223-A

Representative isolates of all species in *Penicillium* subgenus *Biverticillium* and the closely related teleomorphic state *Talaromyces* C. R. Benjamin were screened for BK223-A by the method of FRISVAD and THRANE³⁾ and only the following strains were found to be producers: *P. verruculosum* IMI 352119,

FRR 635 (=IMI 68239=CBS 312.59=ATCC 18315=IFO 5728, ex type of *P. aculeatum* var. *apiculatum* Abe), CBS 548.73 and CBS 264.67 (both allocated to *P. aculeatum* by CBS before the two species were synonymised by STOLK and SAMSON in 1983²). However, all these producers of BK223-A had copious yellow mycelium on malt agars and grew strongly at 37°C, indicating *P. verruculosum*. It cannot be excluded that what ABE described as *P. aculeatum* var. *apiculatum* is a good taxon, in which case it should be validated, or that these BK223-A producers represent the anamorphic state of *Talaromyces panasenkoii* Pitt. The strain CBS 583.72B was the only strain in *Talaromyces* found to produce BK223-A, however this strain is kept as *T. ucrainicus* Udagawa at the CBS and IFO culture collections.

Production and Isolation

Penicillium verruculosum (IMI 352119) was fermented in Erlenmeyer flasks as either surface or submerged culture. The metabolites were extracted from the cultures with methanol, and BK223-A, -B and -C were isolated by EtOAc extraction followed by reversed phase chromatography as described in the experimental part. Twenty fermentation flasks yielded 128, 52 and 28 mg of BK223-A, -B and -C, respectively.

Characterization and Structure

The physical and chemical properties recorded for BK223-A, -B and -C are presented in Table 1, and the ¹H and ¹³C NMR data are listed in Tables 2 and 3, respectively.

Comparison of the three metabolites by UV, IR, NMR and MS showed that the compounds were structurally closely related. ¹H-¹H- and ¹H-¹³C-correlation spectroscopy revealed that each of the three metabolites was composed of two identical 2,4-hydroxylated aromatic acids and three C₄-hydroxy acid units. Given the molecular compositions, as determined by HR-LSIMS, in conjunction with ¹³C NMR data, the connections between the five units had to be ester linkages. By alkaline hydrolysis followed by derivatization with bis(trimethylsilyl)trifluoroacetamid (BSTFA), the C₄-hydroxy acids were identified as their trimethylsilyl derivatives by GC/MS. BK223-A and -B both yielded two equivalents of 3-hydroxybutyric acid and one 3,4-dihydroxybutyric acid, whereas BK223-C, in accordance with the molecular composition showing one less oxygen, yielded only 3-hydroxybutyric acid.

The aromatic acid component was isolated from the hydrolysis mixture by acidification, extraction and subsequent reversed phase HPLC. The compound was identified as (3R)-3,4-dihydro-6,8-dihydroxy-3-methyl-isocoumarin by comparison of its spectral and physical data with literature values⁴). The lactone is formed from the corresponding hydroxy acid during the acidic work-up.

Table 1. Physical and spectroscopic data for compounds 1, 2 and 3.

Compound	1	2	3
Molecular formula:	C ₃₂ H ₃₈ O ₁₅	C ₃₂ H ₃₈ O ₁₅	C ₃₂ H ₃₈ O ₁₄
Molecular weight:	662	662	646
HR-LSIMS (M+H) ⁺			
Calcd:	663.2289	663.2289	647.2340
Found:	663.2300	663.2307	647.2333
UV ^a (λ _{max} nm (log ε), MeOH)		303 (4.0), 265 (4.3) and 217 (4.6)	
[α] _D ²² (c) in MeOH	-22° (1.0)	-5° (0.8)	-21° (0.4)
IR ^a (ν _{max} cm ⁻¹ , KBr)		3400, 1735, 1650, 1620, 1590, 1451, 1374, 1335, 1313, 1260, 1191, 1057	

^a UV and IR-data very similar.

Table 2. ^1H NMR data in acetone- d_6 for compounds 1, 2 and 3.

	Compound 1 δ (m, J)	Compound 2 δ (m, J)	Compound 3 δ (m, J)
H-C4	6.29 (d, 2.5)	6.30 (d, 2.5)	6.29 (d, 2.5)
H-C6	6.37 (d, 2.5)	6.38 (d, 2.5)	6.37 (d, 2.5)
H ₂ -C8	3.47 (dd, 7/13), 2.99 (dd, 8/13)	3.58 (dd, 7/13), 2.90 (dd, 7/13)	3.50 (dd, 7/13), 2.96 (dd, 8/13)
H-C9	5.02 (m)	5.04 (m)	5.02 (m)
H ₃ -C10	1.19 (d, 6)	1.18 (d, 6)	1.19 (d, 6)
H ₂ -C12	2.76 (AB-mult)	2.55 (dd, 5/16), 2.46 (dd, 8/16)	2.64 (d, 7)
H-C13	5.29 (m)	4.23 (m)	5.28 (m)
H _{2/3} -C14	3.66 (d, 5)	4.20 (dd, 5/11), 4.06 (dd, 5/11)	1.28 (d, 6)
H ₂ -C16	2.79 (n.d.), 2.90 (n.d.)	2.96 (dd, 8/16), 2.84 (dd, 5/16)	2.91 (dd, 7/16), 2.74 (dd, 7/16)
H-C17	5.55 (m)	5.58 (m)	5.54 (m)
H ₃ -C18	1.45 (d, 6)	1.48 (d, 6)	1.45 (d, 6)
H-C22	6.30 (d, 2.5)	6.29 (d, 2.5)	6.31 (d, 2.5)
H-C24	6.36 (d, 2.5)	6.37 (d, 2.5)	6.35 (d, 2.5)
H ₂ -C26	3.58 (dd, 6/13), 2.79 (8/13)	3.41 (dd, 7/13), 3.10 (dd, 8/13)	3.60 (dd, 6/13), 2.78 (dd, 9/13)
H-C27	5.02 (m)	5.15 (seks, 7)	5.03 (m)
H ₃ -C28	1.15 (d, 6)	1.24 (d, 6)	1.13 (d, 6)
H ₂ -C30	2.77 (AB-mult), 2.89 (AB-mult)	2.95 (dd, 6/16), 2.78 (dd, 8/16)	2.92 (dd, 6/16), 2.72 (dd, 7/16)
H-C31	5.55 (seks, 6)	5.53 (m)	5.55 (m)
H ₃ -C32	1.46 (d, 6)	1.44 (d, 6)	1.44 (d, 6)

δ -Values in ppm relative to internal TMS, J -values in Hz.

n.d.: Not determined.

Table 3. ^{13}C NMR data in acetone- d_6 for compounds 1, 2 and 3.

Position	Compound 1 δ (m)	Compound 2 δ (m)	Compound 3 δ (m)	Position	Compound 1 δ (m)	Compound 2 δ (m)	Compound 3 δ (m)
C1	171.26 (s)	171.32 (s)	171.25 (s)	C17	69.90 (d)	70.23 (d)	69.94 (d)
C2	106.03 (s)	106.32 (s)	105.99 (s)	C18	20.11 (q)	20.03 (q)	20.14 (q)
C3	163.26 (s) ^a	163.03 (s)	163.35 (s) ^a	C19	170.91 (s)	171.39 (s)	170.99 (s)
C4	102.65 (d)	102.50 (d)	102.70 (d)	C20	105.54 (s)	105.82 (s)	105.57 (s)
C5	165.85 (s)	165.48 (s)	165.94 (s)	C21	163.20 (s) ^a	163.20 (s)	163.29 (s) ^a
C6	112.77 (d)	112.74 (d)	112.85 (d)	C22	102.85 (d)	102.50 (d)	102.83 (d)
C7	143.34 (s)	143.38 (s)	143.30 (s)	C23	166.17 (s)	166.07 (s)	166.22 (s)
C8	41.64 (t)	41.73 (t)	41.73 (t)	C24	113.60 (d)	112.14 (d)	113.55 (d)
C9	73.35 (d)	72.66 (d)	73.30 (d)	C25	143.20 (s)	143.52 (s)	143.23 (s)
C10	19.57 (q)	19.80 (q)	19.54 (q)	C26	42.59 (t)	41.39 (t)	42.59 (t)
C11	170.59 (s)	171.07 (s)	170.28 (s)	C27	72.49 (d)	72.83 (d)	72.42 (d)
C12	36.36 (t)	39.90 (t)	40.97 (t)	C28	19.40 (q)	19.66 (q)	19.49 (q)
C13	72.27 (d)	66.72 (d)	68.41 (d)	C29	170.16 (s)	170.50 (s)	170.14 (s)
C14	63.23 (t)	68.22 (t)	19.89 (q)	C30	40.92 (t)	40.94 (t)	40.63 (t)
C15	170.32 (s)	170.70 (s)	170.11 (s)	C31	69.95 (d)	70.00 (d)	69.98 (d)
C16	40.51 (t)	40.67 (t)	41.01 (t)	C32	20.20 (q)	20.03 (q)	20.00 (q)

δ -Values in ppm relative to internal TMS (0.0 ppm).

^a Might be interchanged.

The C₄-fragments and the aromatic units could be joined by observing long range ^1H - ^{13}C -couplings to carbonyl carbon atoms from α - and β -protons in the same unit and from protons in neighbouring units across the oxygen atom in the ester linkages. Additional long range correlations from the side chain protons (H₂-C8 and H₂-C26) to carbon atoms in the aromatic nuclei (C2, C6 and C7 and C20, C24 and C25, respectively) in combination with correlations within the aromatic systems, support the structures 1, 2 and 3 for BK223-A, -B and -C, respectively. The observed correlations allow the full assignment of the ^1H - and

^{13}C -resonances, as given in Tables 2 and 3. The NMR-techniques used include the COLOC-experiment and selective INEPT experiments together with ^1H -detected ^1H - ^{13}C -correlation experiments (HMQC/HMBC). The structures for the three compounds are shown in Fig. 1.

The suggested structural difference between **1** and **2** is in accordance with the HMBC-data; H_2 -C14 in **2** show correlation to the C15 carbonyl group (170.70 ppm), whereas no such correlation is observed for **1**.

Acetylation of **1** and **2** yielded the corresponding pentaacetates (LSIMS MH^+ 873), **1a** and **2a**, respectively. The ^1H NMR spectra of the derivatives (**1a**) and (**2a**) confirm the positions of the free hydroxy groups, *i.e.* show the expected downfield shifts for the protons attached to the carbon atom bearing the hydroxyl group: the methylene protons at C14 in **1** at δ 3.66 appear in **1a** at δ 4.08~4.37 ($\Delta\delta$ -0.42 and -0.71), and the methine proton attached to C13 in **2** at δ 4.23 shifts downfield in **2a** to δ 5.34 ($\Delta\delta$ -1.11). The ^1H -signals in the 3,4-dihydroxybutyric acid residues of **1a** and **2a**, that is H_2 -C12, H-C13 and H_2 -C14, are easily assigned from the ^1H - ^1H -COSY spectra as shown in Fig. 2. As expected the chemical shifts for **1a** and **2a** are in this part of the molecule very close, since both hydroxy groups carry an acyl group and only steric factors contribute to the chemical shift differences between **1a** and **2a**. The complete ^1H NMR data for the derivatives are given in the experimental part.

The absolute configuration of C3 in all the 3-hydroxybutyric acid residues was determined to be *R* by acid catalyzed ethanolysis, derivatization with (*S*)-phenylethylisocyanate followed by GC-separation of the diastereomers⁵). The absolute configuration of the 3,4-dihydroxybutyric acid residues in BK223-A (**1**)

Fig. 1. Structures of **1**, **2** and **3** and the corresponding acetates.

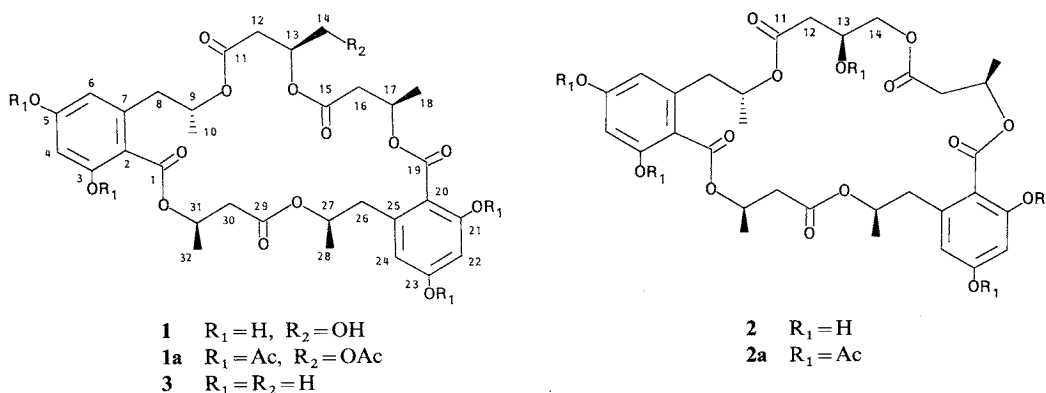
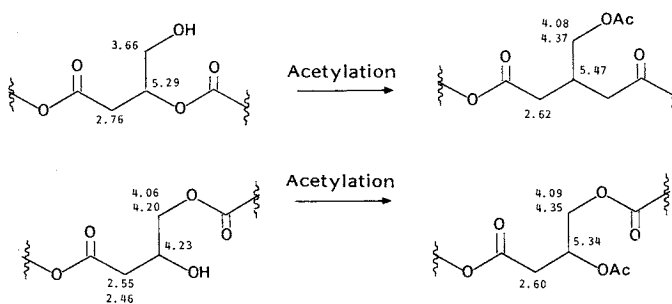


Fig. 2. ^1H chemical shifts for the 3,4-dihydroxybutyric acid unit in **1/1a** (upper part) and **2/2a** (lower part).



and -B (**2**) (C13) was determined by the method described for oscillatoxin A by MOORE *et al.*⁶. Thus acid hydrolysis of **1** and **2** followed by vacuum distillation and silica gel chromatography yielded a compound identified as (*S*)-3,4-dihydroxybutyric acid- γ -lactone by comparison of its physical and spectroscopic data with literature values⁶. The absolute configurations at the five chiral centers are therefore (*9R,13S,17R,27R,31R*) for BK223-A (**1**) and -B (**2**), and (*9R,13R,17R,27R,31R*) for BK223-C (**3**).

During the preparation of this manuscript MIZOUE *et al.*¹¹ published the structures of two novel potentiators of nerve growth factor. The compounds were isolated from *Penicillium verrucosum* F-4542 and designated NG-011 and NG-012. The overall structure of NG-012 is identical to the one we propose for BK223-A. BK223-A and BK223-B differ in being regioisomers, where NG-011 is an epimer of NG-012. Hence, both BK223-B and -C seem to be novel.

Biological Activities

The *in vitro* growth inhibitory effect against a selection of important plant pathogenic fungi was examined for **1**, **2** and **3**. The results are summarized in Table 4 (see Experimental for definition of activities). **1** and **2** have similar spectra of activity, but with **1** being more potent than **2**. **3** showed somewhat lower specific activity than both **1** and **2**, but with a broader spectrum of activity.

The cytotoxic properties of **1**, **2** and **3** were determined in a mouse peritoneal macrophage assay and showed IC₅₀ values for cellular ATP (viability) and chemiluminescence (phagocytosis) to be: **1**, 2.5 and 2.0 $\mu\text{g/ml}$; **2**, 6 and 6 $\mu\text{g/ml}$; **3**, both < 10 $\mu\text{g/ml}$.

Experimental

General

Analytical HPLC was carried out using a Waters 600E gradient pump, a Waters 994 photo diode array detector and a Waters 700 WISP autosampler and using the Maxima software for system control and data handling. For preparative HPLC Gilson 305/306 master/slave pumps and a Pye Unicam LC3 UV-detector was used. Optical rotations were measured on a Perkin-Elmer 241 polarimeter. Mass spectra were obtained using a VG70-250SE instrument (VG Analytical), using cesium ion bombardment at 12 kV and detecting positive ions. 2-Hydroxyethyl disulfide was used as matrix. Gas chromatography-mass spectrometry (GC/MS) was carried out on a system consisting of a HP5890 Series II gas chromatograph directly coupled to a JEOL JMS-AX505W mass spectrometer. The GC-column was an HP Ultra 2; oven program: 100°C for 1 minute, 100~170°C at 15°C/minute, 170~275°C at 10°C/minute, 275°C for 14 minutes. Identifications were done using the NIST library and in the case of 3-hydroxybutyric acid confirmed by running the authentic sample for confirmation of retention time and mass spectrum.

NMR-spectra were obtained on a Bruker AC300P instrument equipped with a ¹H-¹³C-dual probe operating at 300.13 and 75.47 MHz for ¹H and ¹³C, respectively. All spectra were recorded at 297 K. ¹H-detected experiments were performed using the probe with conventional geometry. Solvent peaks (7.27 ppm (¹H) and 77.00 ppm (¹³C)) were used for scaling spectra recorded in chloroform-*d*₁. TMS was used for spectra acquired in acetone-*d*₆.

Table 4. Biological activities for **1**, **2** and **3**.

	1	2	3
<i>Botrytis cinerea</i>	++	+	+
<i>Pyrenophora teres</i>	++	+	+
<i>Phoma lingam</i>	++	+	+
<i>Phoma betae</i>	-	-	+
<i>Sclerotinia sclerotiorum</i>	++	+	-
<i>Monilinia fructigena</i>	+++	+	+
<i>Ascochyta pisi</i>	-	-	+
<i>Alternaria alternata</i>	-	-	+

(+++), (++) , (+) and (-) mean strong, medium, weak and no activity (see Experimental for definition).

Fermentation

Cultivation of the Strain

Penicillium verruculosum (IMI 352119) may be grown on slants containing YPG-1 agar prepared by mixing 4.0 g yeast extract, 1.0 g KH_2PO_4 , 0.1 g $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$, 15 g glucose, 20 g Bacto (Difco) agar and adding distilled water to 1 liter. The substrate was autoclaved at 121°C for 40 minutes. The slants were incubated at 25°C for 7 days or longer.

Surface Cultivation

Penicillium verruculosum IMI 352119 was grown in Erlenmeyer flasks (500 ml) containing 100 ml of YES agar. The medium contained the following ingredients: yeast extract (2%), sucrose (15%), agar (2%), CuSO_4 and ZnSO_4 (5 ppm and 10 ppm, respectively). The substrate was autoclaved at 121°C for 40 minutes. One drop of spores was used to inoculate each flask. The flasks were incubated at 25°C for 14 days. The extraction of the metabolites was performed by addition of methanol (200 ml per flask) and shaking at 18°C overnight. The extract was centrifuged and the supernatant processed as described below.

Submerged Cultivation

For submerged fermentation the substrate was prepared by mixing 20 g yeast extract (Difco), 150 g sucrose, 1 ml trace metal solution (8.9 g $\text{ZnSO}_4 \cdot 7\text{H}_2\text{O}$ and 3.9 g $\text{CuSO}_4 \cdot 5\text{H}_2\text{O}$ dissolved in 500 ml distilled water) and adding distilled water to 1 liter. The pH was adjusted to 6.4 using 4M HCl and the substrate was autoclaved at 121°C for 20 minutes. Each Erlenmeyer flask (500 ml) containing 100 ml substrate was inoculated with approx 10^6 spores from a YPG-1 agar slant. The flasks were shaken (230 rpm) for 7 days at 25°C. The mycelium was separated by centrifugation and extracted with ethanol (100 ml per flask).

Isolation of Metabolites

The methanolic extract (3.5 liters centrifugate) from 20 surface fermentation flasks was concentrated under reduced pressure to 50 ml. After addition of 200 ml of water, the aqueous phase was extracted three times with 100 ml portions of EtOAc. The organic phases were combined and dried by freezing (−18°C over night). The separated ice was removed by filtration. Evaporation to dryness yielded 2 g of a dark brown extract.

The primary extract was subjected to reversed phase HPLC (LiChroPrep RP18 15~25 μm , 20 × 230 mm, linear gradient from 40% methanol to 100% methanol (80 minutes), flowrate 10 ml/minute, detected by UV absorption at 225 nm) to yield three fractions: Fraction I (45 mg) mixture of **1** and **2** (9 : 1), Fraction II (216 mg) mixture of compounds **1** and **2** (1 : 1), Fraction III (28 mg) compound **3**. Rechromatography of Fraction III in the same system yielded pure **3** as an amorphous glass (18 mg). The compounds **1** and **2** were purified to homogeneity by further HPLC separation of Fractions I and II (LiChroPrep RP18 7 μm , 10 × 250 mm, isocratic 57% acetonitrile buffered with 0.01% TFA, 1.5 ml/minute, detected by UV absorption at 225 and 300 nm). Both **1** and **2** were obtained as amorphous foam (128 and 52 mg, respectively).

The purity of the compounds was checked by analytical HPLC with the following conditions: Column: LiChroSorb RP18 250 × 4.6 mm (10 μm); Eluent A: 30% acetonitril (0.05% TFA), Eluent B: 100% acetonitril (0.05% TFA). Linear gradient from 0% B to 75% B over 15 minutes, flowrate 1.5 ml/minute. Detection by UV-absorbance at 210 and 300 nm. The retention times for **1**, **2** and **3** are 11.1, 11.4 and 14.1, respectively. Retention indices in the system of FRISVAD and THRANE³⁾ are 1054~1062, 1080~1083 and 1196, respectively. UV data in the water acetonitril gradient: λ_{max} (nm) 215 (100%), 262 (48%) and 299 (20%), λ_{min} (nm) 240 (18%) 284 (16%).

Bioassays

The test organisms were: *Phoma lingam* DSM 62910, *Monilinia fructigena* ATCC 24976, *Alternaria alternata* ATCC 44500, *Sclerotinia sclerotiorum*, *Phoma betae*, *Botrytis cinerea*, *Ascochyta pisi* and *Pyrenophora teres* (where no strain number is given the isolate belongs to the Novo Nordisk A/S strain collection, Copenhagen). The test organisms were transferred to potato dextrose bouillon and incubated for 7 days at 25°C. The mycelium was harvested and homogenized for 10 seconds in a blender. 1 ml suspension was transferred to petri dishes, added 20 ml potato dextrose agar (Difco) at 45°C and mixed

thoroughly. After cooling 4 mm wells were punched and 15 μ l of test solutions containing various concentrations of **1**, **2** and **3** dissolved in ethanol were applied to each well. The plates were incubated for three days at 25°C and the inhibition zones observed. Four levels of activity were defined: strong (+ + +), if the minimal concentration required to give a measureable inhibition zone was less than 10 μ g/ml; weak (+), if the concentration required was more than 250 μ g/ml and medium (+ +) for activities in between. No activity (–) means no inhibition zone observed at concentrations less than 1,000 μ g/ml (1,000 μ g/ml was highest concentration applied).

Hydrolysis and Derivatization for GC/MS-analysis

Trimethylsilyl Derivatives

1 mg of **1** was dissolved in 3 ml of 50% aqueous MeOH and treated for 20 hours with 1 ml of Amberlite IRA-904 (OH[–]) anion resin at room temperature. The resin was filtered off and washed twice with 2 ml 1 M acetic acid and MeOH. The filtrate and washings were combined and evaporated to dryness in a vacuum centrifuge. The sample for GC/MS analysis was prepared by treating the residue with BSTFA in a closed vial for 10 minutes at 100°C and diluting with DMF. GC/MS-conditions as described above.

(S)-Phenylethylisocyanate Derivatives

1 mg **1** was dissolved in 200 μ l EtOH acidified with H₂SO₄ (36 ml EtOH and 1.1 ml concentrated sulfuric acid) and added 200 μ l 1,2-dichloroethane. The sample was heated in a closed vial to 100°C for 15 hours. After cooling to room temperature 500 μ l distilled water and 500 μ l saturated aqueous NaCl was added followed by extraction three times with 1 ml portions of ether. The combined organic phases were washed with saturated aqueous NaHCO₃ (1 ml) and saturated aqueous NaCl (1 ml). The ether phase was dried by filtration through a layer of anhydrous MgSO₄ in a pasteur pipette and the solvent removed in a stream of nitrogen. The residue was added 10 μ l (S)-phenylethylisocyanate and heated to 125°C for 5 hours. The mixture was dissolved in 2 ml dichloromethane for GC-analysis (column HP-4, 50 m \times 0.25 mm, carrier gas H₂ (40 m/second), temperature 220°C).

Isolation of (3R)-3,4-Dihydro-6,8-dihydroxy-3-methyl-isocoumarin

10 mg **1** was hydrolyzed in 0.5 M aqueous NaOH for 20 hours under nitrogen in a closed vial at room temperature. After acidifying with 4 M aqueous HCl the solution was extracted twice with 2 ml portions of EtOAc. The extract was dried (Na₂SO₄) and evaporated in a stream of nitrogen. (3R)-3,4-dihydro-6,8-dihydroxy-3-methyl-isocoumarin (1.8 mg, crystalline mass) was isolated by reversed phase HPLC (ODS 16 \times 250 mm, linear gradient from water to 50% acetonitrile, both buffered with 0.05% TFA). ¹H NMR (CDCl₃): 1.52 (3H, d, 6.3), 2.87 (2H, AB-syst.), 4.69 (1H, m), 6.21 (1H, d, 2.4), 6.33 (1H, d, 2.4), 11.22 (1H, s, OH); ¹³C NMR (CDCl₃): 169.8, 164.5, 162.2, 141.7, 106.4, 102.0, 75.5, 34.8, 20.7; EI-MS *m/z* 194 (M⁺); mp 198 ~ 201°C, [α]_D²² – 57° (c 0.07, MeOH) (litt.⁴) mp 214 ~ 217°C, [α]_D²⁰ – 63° (c 0.6, EtOH)).

Acetylation of BK223-A (**1**) to **1a**

3.6 mg **1** was dissolved in 0.5 ml of pyridine and added 0.3 ml acetic anhydride under cooling in ice bath. After two hours at room temperature excess reagent was destroyed by addition of 1 ml of crushed ice and acidification with 4 M sulfuric acid. After stirring for 10 minutes, the mixture was extracted twice with 2 ml portions of dichloromethane. The combined extracts were washed with 2 \times 1 ml 2 M H₂SO₄, 1 ml H₂O and 1 ml saturated aqueous NaHCO₃ and dried (Na₂SO₄). The solvent was evaporated and the product purified by preparative TLC (Merck silica, 20 \times 20 cm, 2 mm layer) using chloroform-MeOH (50:1) as eluent, to give 2.1 mg of the pentaacetate (**1a**) as an amorphous solid, LSIMS 873 (MH⁺). ¹H NMR (δ , multiplicity, *J* Hz) in CDCl₃: 6.93 (1H, d, 2.2), 6.90 (1H, d, 2.2), 6.88 (1H, d, 2.2), 6.84 (1H, d, 2.2), 5.55 (2H, m), 5.47 (1H, m), 5.02 (1H, m), 4.95 (1H, m), 4.37 (1H, dd, 4/12), 4.08 (1H, dd, 5/12), 3.07 (1H, dd, 5/14), 2.96 (1H, dd, 7/16), 2.95 (1H, dd, 8/15), 2.75 (1H, dd, 8/17), 2.70 (1H, dd, 8/16), 2.62 (2H, d, 7), 2.58 (1H, dd, 8/13), 2.56 (1H, dd, 4/16), 2.55 (1H, dd, 4/16), 2.29 (3H, s), 2.26 (3H, s), 2.25 (2 \times 3H, s), 2.24 (3H, s), 2.05 (3H, s), 1.39 (3H, d, 6.2), 1.38 (3H, d, 6.2), 1.28 (3H, d, 6.2), 1.06 (3H, d, 6.2).

Acetylation of BK223-B (**2**) to **2a**

5.0 mg **2** was acetylated as described above for **1**. After preparative TLC 4.1 mg of product (**2a**) was obtained. LSIMS 873 (MH^+), 1H NMR in $CDCl_3$: 6.94 (1H, d, 2.2), 6.88~6.90 (3H, 3 × d, s), 5.58 (1H, m), 5.55 (1H, m), 5.34 (1H, m), 5.10 (1H, m), 5.09 (1H, m), 4.35 (1H, dd, 4.0/12), 4.09 (1H, dd, 5.6/12), 3.07 (1H, dd, 8/14), 3.06 (1H, dd, 7/14), 2.90 (1H, dd, 6/14), 2.85 (1H, dd, 6/14), 2.79 (1H, dd, 7/16), 2.78 (1H, dd, 8/16), 2.61 (1H, not determined), 2.60 (2H, d, 6.5), 2.57 (1H, not determined), 2.28 (2 × 3H, s), 2.26 (3H, s), 2.25 (3H, s), 2.01 (3H, s), 1.41 (3H, d, 6.3), 1.40 (3H, d, 6.3), 1.24 (3H, d, 6.3), 1.18 (3H, d, 6.2).

(*S*)-3,4-Dihydroxybutyric Acid- γ -lactone from **1** and **2**

(*S*)-3,4-Dihydroxybutyric acid- γ -lactone (2.0 mg, $[\alpha]_D^{22} -67^\circ$ (MeOH, *c* 0.2), litt.⁶⁾ $[\alpha]_D -72^\circ$ (EtOH, *c* 0.8)) was isolated from the hydrolysis mixture of **1** (30 mg) by distillation (130°C/0.2 mmHg) and chromatography essentially as described for oscillatoxin⁶⁾. By the same procedure the (*S*)-lactone was isolated from the hydrolysis mixture of **2**.

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